# Some results about stationary Navier-Stokes equations with a pressure-dependent viscosity

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#### Abstract

We consider the stationary Navier-Stokes equations with a pressure-dependent viscosity. For "almost conservative" external forces, we prove by application of the local inversion theorem that the homogeneous Dirichlet problem admits a unique regular solution.

# 1 Introduction

In this paper we study the stationary problem

$$\begin{cases}
-\nabla \cdot [\eta(p)(\nabla u + \nabla^T u)] + \nabla p + (u \cdot \nabla)u = f & \text{in } \Omega \\
\nabla \cdot u = 0 & \text{in } \Omega \\
u = 0 & \text{on } \partial\Omega
\end{cases}$$
(1)

where the unknowns are the vector function u and the scalar function p and  $\Omega$  is an open bounded set of  $\mathbb{R}^n$  ( $n \geq 2$ ) with smooth boundary  $\partial\Omega$ . This problem represents Navier-Stokes equations with a pressure-dependent viscosity: u is the velocity of the fluid, p its pressure,  $\eta$  its viscosity and f an external force acting on the fluid. Our research is motivated by physical experiments, indeed the viscosity  $\eta$  of real fluids may depend on the pressure and on the temperature: this dependence is best represented by Eyring's equation [1, 5]

$$\eta(p,T) = a\sqrt{T} \cdot \exp\left(\frac{b+cp}{T}\right)$$
(2)

where T denotes the temperature of the fluid and a, b, c > 0 are constants depending on the nature of the fluid; we deal with a fluid at constant temperature so that the viscosity  $\eta$  only depends on p, i.e.  $\eta = \eta(p)$ . We require the function  $\eta$  to satisfy the realistic assumptions

$$\eta \in C^{m+3}(\mathbb{R}) \quad \text{and} \quad \inf_{x \in \mathbf{R}} \eta(x) = \eta_0 > 0 ,$$
(3)

where  $m \in \mathbb{N}$  will be chosen depending on the regularity we expect for the solution of (1). To remove an indeterminacy already present in the classical Navier-Stokes equations we also need a condition on p: more precisely, we will assume that the mean value of p over  $\Omega$  is known.

Navier-Stokes equations with a pressure-dependent viscosity were first studied by Renardy [6] in the 3D case; Renardy remarks that if (2) does not break down for large pressures we cannot even guarantee the existence of a pressure p for a prescribed velocity field u and body force f: under the assumptions that  $\eta$  is sublinear at infinity, that  $|\eta'|_{\infty} = \eta'_{\infty} < +\infty$  an existence and uniqueness result for the evolution equation is proved. Moreover, Renardy remarks that the stationary equations (1) may lose their ellipticity unless a condition on the eigenvalues of the tensor  $(\nabla u + \nabla^T u)$  is assumed; more precisely, it is necessary to assume that

the eigenvalues of 
$$(\nabla u + \nabla^T u)$$
 are strictly less than  $\frac{1}{\eta'_{\infty}}$ . (4)

In the stationary case, the main result of Renardy is an existence and uniqueness result for p (with given mean value) to satisfy (1) in a suitable weak sense if the velocity u is known and (3) (4) hold. This result is useful in view of the elimination of the pressure p in the equation: indeed to eliminate the pressure in (1) we cannot make use of Hodge projection (see [7]) as for the classical problem and we must solve a nonlinear elliptic equation in divergence form.

In a subsequent paper [2] a similar result is proved (in the case n = 3) by taking stronger assumptions on  $\eta$ , by weakening the regularity assumptions on u and f and by replacing (4) with

$$|\nabla u|_{\infty} < \frac{1}{2\eta_{\infty}'\sqrt{6}}$$
;

the existence of a unique function p (with given mean value) satisfying (1) in a suitable weak sense is then proved. In [2] a converse result is also proved: if the pressure p is known and belongs to a suitable neighborhood of its mean value then there exists a unique u satisfying (1) in a "complementary" weak sense; the proofs of these results involve Helmholtz-Weyl decomposition of the space  $\mathbf{L}^2(\Omega)$ .

The aim of this paper is to prove, by application of the local inversion theorem, the existence of a unique regular solution to (1) when the external force f is "almost conservative" in a suitable sense: this result will be used in a forthcoming paper [3] to prove local existence and uniqueness for the evolution problem under the only assumption (3) on the viscosity.

## 2 Statements of the results

We assume that  $\Omega \subset \mathbb{R}^n$  is an open bounded set satisfying

$$\partial \Omega \in C^{m+2} \tag{5}$$

for some integer m. With bold capital letters ( $\mathbf{L}^2$ ,  $\mathbf{H}^1$ ,  $\mathbf{V}$ ,...) we denote functional spaces of vector functions and with usual capital letters ( $L^2$ ,  $H^1$ ,...) we denote functional spaces of scalar functions.

To simplify notations we set  $L^2:=L^2(\Omega)$ ,  $\mathbf{H}^1:=\mathbf{H}^1(\Omega)$ ,... With  $W^{m,s}$  we represent Sobolev spaces of functions with generalized derivatives up to order m in  $L^s$ , with  $\|\cdot\|_m$  we denote the corresponding norm and with  $W_0^{m,s}$  the  $W^{m,s}$ -closure of the space  $C_c^{\infty}$  of smooth functions with compact support in  $\Omega$ ;  $H^m:=W^{m,2}$  represent the Hilbertian Sobolev spaces. Given Banach spaces  $\mathbf{X},\mathbf{Y}$ , we denote by  $\mathcal{L}(\mathbf{X},\mathbf{Y})$  the space of linear continuous operators from  $\mathbf{X}$  to  $\mathbf{Y}$  and by  $\|\cdot\|_{\mathcal{L}(\mathbf{X},\mathbf{Y})}$  its norm.

We also need the spaces arising from Helmholtz-Weyl decomposition of the Hilbert space  $L^2$  (see [8])

$$\mathbf{G} := \{ f \in \mathbf{L}^2; \ \nabla \cdot f = 0, \ \gamma_n f = 0 \} \qquad \mathbf{G}^{\perp} := \{ f \in \mathbf{L}^2; \ \exists g \in H^1, \ f = \nabla g \}$$
$$\mathbf{V} := \{ f \in \mathbf{H}_0^1; \ \nabla \cdot f = 0 \}$$

where  $\gamma_n$  denotes the normal trace operator; the space  $\mathbf{V}$  is a Hilbert space when endowed with the scalar product  $(u,v)_{\mathbf{V}}=(\nabla u,\nabla v)_{\mathbf{L}^2}$ . It is well-known that  $\mathbf{L}^2=\mathbf{G}\oplus\mathbf{G}^{\perp}$ : we denote by  $\mathcal{P}$  (resp.  $\mathcal{Q}$ ) the orthogonal projectors of  $\mathbf{L}^2$  onto  $\mathbf{G}$  (resp.  $\mathbf{G}^{\perp}$ ). The projections  $\mathcal{P}f$  and  $\mathcal{Q}f$  of a function  $f\in\mathbf{L}^2$  are determined by solving the homogeneous Dirichlet problem for a Poisson equation and a Neumann problem for Laplace equation. Recall that  $\mathcal{P}$  is a linear continuous operator from  $\mathbf{W}^{m,s}$  onto  $\{f\in\mathbf{W}^{m,s};\ \nabla\cdot f=0,\ \gamma_n f=0\}$ .

As in [6] we assume that the mean value of p over  $\Omega$  is given, say  $\bar{p}$ : without loss of generality we take  $\bar{p} = 0$ ; assume that

$$m \in \mathbb{N}$$
 and  $s > 1$  satisfy  $(m+1)s > n$  (6)

in order to have the imbedding  $\mathbf{W}^{m+1,s} \subset \mathbf{L}^{\infty}$ . Consider the spaces

$$\overline{W}^{m+1,s} := \left\{ g \in W^{m+1,s}; \int_{\Omega} g(x) \ dx = 0 \right\}$$

and  $\mathbf{U}_{m,s} := \mathbf{W}^{m+2,s} \cap \mathbf{V}$  normed with the  $\mathbf{W}^{m+2,s}$ -norm; the space  $\mathbf{X}_{m,s} := \mathbf{U}_{m,s} \times \overline{W}^{m+1,s}$  is a Banach space when endowed with the norm

$$\forall (u, p) \in \mathbf{X}_{m,s}$$
  $\|(u, p)\|_{\mathbf{X}} = \|u\|_{m+2,s} + \|p\|_{m+1,s}$ 

The space  $\mathbf{X}_{m,s}$  is the space where we will seek the solutions (u,p) of (1); to simplify the notations we omit the indices m and s on the spaces  $\mathbf{X}, \mathbf{U}$ . Set also  $\mathbf{Y} := \mathbf{W}^{m,s}$ ,  $\|\cdot\|_{\mathbf{Y}} = \|\cdot\|_{m}$ . Finally, let us introduce the operator  $\Phi: \mathbf{X} \to \mathbf{Y}$  (see Lemma 3) defined by

$$\Phi(u,p) = -\nabla \cdot [\eta(p)(\nabla u + \nabla^T u)] + \nabla p + (u \cdot \nabla)u . \tag{7}$$

#### Definition

Let  $f \in \mathbf{Y}$ , we say that (u, p) is a solution of (1) if  $\Phi(u, p) \in \mathbf{Y}$  and  $\Phi(u, p) = f$  a.e. in  $\Omega$ .

Making use of an inversion argument we will prove

**Theorem 1** Assume (3) (5) (6) and let  $\psi \in \overline{W}^{m+1,s}$ ; then there exists a constant  $R = R(\psi) > 0$  such that if  $f \in \mathbf{Y}$  satisfies  $||f - \nabla \psi||_{\mathbf{Y}} \leq R$ , then (1) admits a unique solution  $(u,p) \in \mathbf{X}$  in a suitable  $\mathbf{X}$ -neighborhood of  $(0,\psi)$ . Moreover, the map  $f \mapsto (u,p)$  is continuous from  $\mathbf{Y}$  to  $\mathbf{X}$ . In particular, there exists  $\overline{R} > 0$  such that if  $||f||_{\mathbf{Y}} \leq \overline{R}$ , then (1) admits a unique solution in a suitable  $\mathbf{X}$ -neighborhood of (0,0).

In particular, we have

Corollary 1 Assume (3) (5) (6) and let  $f \in \mathbf{Y}$  be such that  $\mathcal{P}f = 0$  (i.e.  $f \in \mathbf{G}^{\perp}$ ); then (1) admits a unique solution  $(u, p) \in \mathbf{X}$  given by

$$u \equiv 0$$
  $p = g$ 

where g is the zero mean value potential of f (i.e.  $g \in \overline{W}^{m+1,s}$ ,  $\nabla g = f$ ).

Therefore, for conservative forces f the classical problem with constant viscosity and (1) have the same solutions: the equations become the well-known equation of the statics of the fluids and this fact shows that the model described by (1) is consistent.

# 3 Proof of Theorem 1

We begin with two technical results:

**Lemma 1** Assume (3) (5) (6); then:

- (i) there exists a constant  $\omega = \omega(\eta_0, n, \Omega) > 0$  such that if  $f \in \mathbf{Y}$  and  $(u, p) \in \mathbf{X}$  is a solution of (1) then  $\|u\|_{\mathbf{V}} \leq \omega \|\mathcal{P}f\|_{\mathbf{Y}}$
- (ii) for all  $p \in \overline{W}^{m+1,s}$  the bilinear form on  $\mathbf{V}$

$$\forall u, v \in \mathbf{V}$$
  $[u, v]_p := \int_{\Omega} \eta(p)(\nabla u + \nabla^T u) : \nabla v$ 

defines a scalar product which induces a norm equivalent to  $\|\cdot\|_{\mathbf{V}}$ .

**Proof.** Multiply (1) by u, integrate by parts to obtain

$$\int_{\Omega} \eta(p)(\nabla u + \nabla^T u) : \nabla u = \int_{\Omega} u f$$

and remark that  $\int_{\Omega} uf = \int_{\Omega} u\mathcal{P}f$  by the imbedding  $\mathbf{V} \subset \mathbf{G}$ . Next, note that

$$(\nabla u + \nabla^T u) : \nabla u = \frac{1}{2} (\nabla u + \nabla^T u) : (\nabla u + \nabla^T u) \ge 0$$
(8)

and that, by the divergence Theorem,

$$\int_{\Omega} \nabla u : \nabla^T u = \int_{\Omega} \nabla \cdot [(u \cdot \nabla) u] = \int_{\partial \Omega} \gamma_n [(u \cdot \nabla) u] = 0 \ ;$$

hence, by (3)

$$\eta_0 \int_{\Omega} |\nabla u|^2 \le \int_{\Omega} \eta(p)(\nabla u + \nabla^T u) : \nabla u . \tag{9}$$

Therefore, we have

$$\eta_0 \int_{\Omega} |\nabla u|^2 \le \int_{\Omega} u \mathcal{P} f$$

and (i) follows by Schwarz inequality and the imbedding  $\mathbf{Y} \subset \mathbf{H}^{-1}$ .

The bilinear form  $[u,v]_p$  is clearly symmetric and by (9) it is also a positive form. By the imbedding  $\overline{W}^{m+1,s} \subset L^{\infty}$  and by (3) we infer that

$$\forall p \in \overline{W}^{m+1,s} \quad \exists C_p > 0$$
 such that  $C_p \ge \eta(p) \ge \eta_0$  for a.e.  $x \in \Omega$ ;

hence, by (8) we get

$$\forall u \in \mathbf{V}$$
  $\eta_0 \int_{\Omega} |\nabla u|^2 \le \int_{\Omega} \eta(p)(\nabla u + \nabla^T u) : \nabla u \le C_p \int_{\Omega} |\nabla u|^2$ ,

which proves (ii).

The following lemma is obtained by slight modifications of well-known results about the classical Stokes problem:

**Lemma 2** Assume (3) (5) (6) and let  $\psi \in \overline{W}^{m+1,s}$ ; then, for all  $g \in \mathbf{Y}$  there exists a unique solution  $(v,q) \in \mathbf{X}$  of the equation

$$-\nabla \cdot \left[ \eta(\psi)(\nabla v + \nabla^T v) \right] + \nabla q = g \qquad in \quad \Omega . \tag{10}$$

**Proof.** If  $\eta(\psi) \equiv \eta$  the result is well-known, see [4].

If  $\eta = \eta(\psi)$ , by Lemma 1 the bilinear form  $[\cdot, \cdot]_{\psi}$  is coercive: hence, by standard estimates for elliptic operators one has that if (10) admits a solution  $(v, q) \in \mathbf{X}$ , then  $\|(v, q)\|_{\mathbf{X}} \le c\|g\|_{\mathbf{Y}}$ . Build a homotopy connecting (10) and the classical Stokes problem: then Proposition 6.1 in [9] gives the result.

Next, we prove some regularity properties of  $\Phi$ :

**Lemma 3** Assume (3) (5) (6) and let  $\Phi$  be the operator defined in (7). Then  $\Phi \in C(\mathbf{X}, \mathbf{Y})$ .

**Proof.** Given  $(u, p), (v, q) \in \mathbf{X}$  we have

$$\|\Phi(u,p) - \Phi(v,q)\|_{\mathbf{Y}} \leq \|[\eta(p) - \eta(q)](\nabla u + \nabla^{T}u)\|_{m+1} + \|\eta(q)[\nabla(u-v) + \nabla^{T}(u-v)]\|_{m+1} + \|p - q\|_{m+1} + \|((u-v) \cdot \nabla)u\|_{m} + \|(v \cdot \nabla)(u-v)\|_{m}$$

$$\leq c\|[\eta(p) - \eta(q)]\|_{m+1}\|u\|_{m+2} + c\|\eta(q)\|_{m+1}\|u - v\|_{m+2} + \|p - q\|_{m+1} + \|u - v\|_{m+1}(\|u\|_{m+1} + \|v\|_{m+1}).$$

$$(11)$$

If  $q \to p$  in  $W^{m+1,s}$ , then we can show that  $\eta(q) \to \eta(p)$  in  $W^{m+1,s}$ . Thus from (11) we obtain the continuity of  $\Phi$ .

**Lemma 4** The Fréchet-derivative  $\Phi'$  of  $\Phi$  is continuous at any point  $(0, \psi), \psi \in \overline{W}^{m+1,s}$ .

**Proof.** By a straightforward calculation one verifies that for any  $(u, p) \in \mathbf{X}$  the operator  $\Phi$  has a Fréchet-derivative  $\Phi'(u, p) : \mathbf{X} \to \mathbf{Y}$  defined by  $\Phi'(u, p)[v, q] = \Phi_u(u, p)[v] + \Phi_p(u, p)[q]$  where

$$\Phi_u(u,p)[v] = -\nabla \cdot [\eta(p)(\nabla v + \nabla^T v)] + (u \cdot \nabla)v + (v \cdot \nabla)u$$

$$\Phi_n(u,p)[q] = [\mathbf{I} - \eta'(p)(\nabla u + \nabla^T u)]\nabla q + [-\eta'(p)\Delta u - \eta''(p)(\nabla u + \nabla^T u)\nabla p]q.$$

Then, we have

$$\|\Phi_{u}(u,p)[v] - \Phi_{u}(0,\psi)[v]\|_{\mathbf{Y}} \leq \|\nabla \cdot [(\eta(p) - \eta(\psi))(\nabla v + \nabla^{T}v)]\|_{m} + \|(u \cdot \nabla)v\|_{m} + \|(v \cdot \nabla)u\|_{m}$$

$$\leq c\|\eta(p) - \eta(\psi)\|_{m+1}\|v\|_{m+2} + c\|u\|_{m+2}\|v\|_{m+2}.$$

Thus we obtain

$$\|\Phi_u(u,p) - \Phi_u(0,\psi)\|_{\mathcal{L}(\mathbf{X},\mathbf{Y})} \le c \|\eta(p) - \eta(\psi)\|_{m+1} + c \|u\|_{m+2}$$

which goes to 0 as  $||u, p - \psi||_{\mathbf{X}} \to 0$ . For  $\Phi_p$  we proceed similarly.

**Proof of Theorem 1.** Given  $\psi \in \overline{W}^{m+1,s}$ , we have  $\Phi(0,\psi) = \nabla \psi$ .  $\Phi$  is continuous on  $\mathbf{X}$  and  $\Phi'$  is continuous at  $(0,\psi)$  because of Lemmata 3 and 5. Since  $\Phi'(0,\psi)[v,q] = -\nabla \cdot [\eta(\psi)(\nabla v + \nabla^T v)] + \nabla q$ ,  $\Phi'(0,\psi)$  is an invertible operator from Lemma 2. Thus the result follows by applying the local inversion theorem.

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